



## What Independence Means For You

Securities America believes strongly that the **independent** financial advisor business model puts both clients' and financial advisors' interests into harmony.

Our advisors can provide fair and objective counsel to clients without the undue pressure of sales goals or proprietary product restrictions. Financial advisors who are NOT independent are many times restricted to proprietary products, which may present a conflict of interest and may not present the best investment alternatives for your individual situation.

In the end, we believe the client's financial goals are being appropriately placed in the center of the planning and investing process.



## Ladenburg Thalmann Financial Services

Founded in 1876 and a member of the New York Stock Exchange, Ladenburg Thalmann Financial Services is engaged in independent brokerage and advisory services, investment banking, equity research, institutional sales and trading, and asset management services.

As part of the Ladenburg Thalmann Financial Services family, Securities America advisors can provide wealth accumulation, retirement income planning, college planning, financial risk management, estate planning and trust services.

This multi-company support structure allows Securities America's independent advisors to guide clients through all areas of financial management.

If you would like further information about Securities America, please visit our website, [www.securitiesamerica.com](http://www.securitiesamerica.com), and go to the "Investors" section.



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## Your Partner in Financial Independence





## Securities America

Securities America Financial Corporation is a financial services holding company. Our advisors provide investment and financial planning services including securities and insurance brokerage, as well as asset management.

Think of Securities America as the behind-the-scenes support for your financial advisor. Through our extensive technology, compliance and operational support, our advisors can more readily provide comprehensive and professional service to you, their client.

Our 460 employees currently support more than 1,800 talented and well-respected financial advisors across the United States. We are a top-ten Independent Broker/Dealer<sup>1</sup> with our advisors investing nearly \$60 billion for more than 479,000 clients nationwide.<sup>2</sup>

Securities America's mission is to foster the success of financial professionals so they can provide quality, objective financial advice and services to their clients.

## The Securities America Companies

### Securities America Financial Corporation

Headquartered in La Vista, Nebraska, Securities America Financial Corporation is a wholly owned subsidiary of Ladenburg Thalmann Financial Services, Inc. and is the holding company for Securities America, Inc. and Securities America Advisors, Inc.

### Securities America, Inc.

Founded in 1984, Securities America, Inc. is an independent broker-dealer that facilitates the buying and selling of securities on behalf of the clients of its registered representatives.

All customer brokerage accounts at Securities America, Inc. are carried by National Financial Services LLC, Member NYSE/SIPC or by Pershing, LLC, member FINRA, NYSE, SIPC and a subsidiary of The Bank of New York Mellon Corporation. For information regarding brokerage account coverage under the Securities Investor Protection Corporation (SIPC), visit [www.SIPC.com](http://www.SIPC.com) for an explanatory brochure. Details on additional coverage can be found under the Investors section at [www.securitiesamerica.com](http://www.securitiesamerica.com).

Securities America, Inc. also serves as the corporate agency for the distribution of insurance products. Through this agency, Securities America provides its advisors with a complete line of insurance, annuity and ancillary products. Choose from term, universal and traditional life products, as well as a full range of disability, long-term care and health coverage products.

### Securities America Advisors, Inc.

Securities America Advisors, Inc. is a Securities and Exchange Commission Registered Investment Advisor founded in 1994. With \$20 billion<sup>3</sup> of assets under management and administration, Securities America Advisors, Inc. provides investment management, financial advice and financial planning through advisors nationwide.

Working closely with Securities America Advisors, you and your financial advisor can develop a personalized investment program. Whether you are looking for turn-key solutions or something customized to your unique situation, Securities America Advisors is there to help your advisor meet your needs. We offer you flexibility and expert support, and you and your advisor remain in control of your financial choices.



<sup>1</sup> According to *Financial Planning Magazine's* Broker/Dealer Survey, 6/14

<sup>2</sup> As of 6/14

<sup>3</sup> As of 6/14